



Atty Docket No: 42336.010500

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: :  
**DOWLING, William Race** : Group Art Unit: 2134  
Serial No.: 09/859,667 : Examiner: JUNG, David Yiuk  
Filed: May 18, 2001 :  
For: System and Method for Secure Data Transmission

**RESPONSE UNDER 37 C.F.R. §1.111**

Commissioner for Patents  
P.O. Box 1450  
Mail Stop Amendment  
Alexandria, VA 22313-1450

SIR:

In response to the Office Action dated November 15, 2004, please amend the above-identified application as follows:

**AMENDMENTS TO THE SPECIFICATION**

Please amend paragraphs [0050] and [0051] as follows:

[0050] Once parties are appropriately introduced and validated, a trusted application can establish access between the Secure POP interface level and internal Secure POP security mechanisms (Block 402). These internal Secure POP security mechanisms ("Secure Core") may then generate a user profile referencing the set of expected accesses.

[0051] The Secure Core can include trusted databases of resource providers available through the ~~generate a user profile referencing the set of expected accesses.~~ Secure POP, as well as pre-established access rules created by resource providers. User and resource profiles can then be combined to create a session profile, which represents a set of accesses that are both allowed and expected for a given user in a given session. Session profile data may then be presented to the resource requester as a list of accessible resources (Block 403). In the preferred embodiment, such a list may mask resource provider identities by allowing the Secure Core to maintain a correspondence list in a protected, trusted application. By masking resource provider identities,

Adjustment date: 06/10/2005 SDIRETA1  
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Group Art Unit: 2134

Examiner: JUNG, David Yiuk

**REQUEST FOR REFUND**

Mail Stop 16  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING  
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22313-1450  
ON April 26, 2005  
BY Sandra Ferony  
SANDRA FERONY

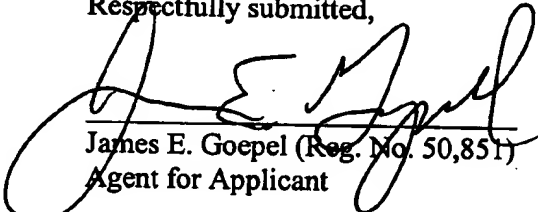
SIR:

Applicant filed an Amendment for the referenced application on April 15, 2005 and inadvertently requested that \$200 be charged to Deposit Account 50-0653. Please see item No. 5 of the enclosed copy of the transmittal letter.

Since the only fee due for this response was a two-month extension of time fee of \$450, and since the deposit account was charged for that amount in Item No. 3, we request a refund for \$200 to Deposit Account 50-0653.

If there are any questions regarding this Request for Refund, please contact Sandra Ferony at (703) 749-1368.

Respectfully submitted,

  
James E. Goepel (Reg. No. 50,851)  
Agent for Applicant

GREENBERG TRAURIG, LLP  
1750 Tyson's Boulevard  
Suite 1200  
McLean, VA 22102  
(703) 903-7536  
E-mail: [goepelj@gtlaw.com](mailto:goepelj@gtlaw.com)

Enclosure: Copy of Amendment Transmittal  
Filed: April 26, 2005

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: :  
DOWLING, William Race : Group Art Unit: 2134  
Serial No.: 09/859,667 : Examiner: JUNG, David Yiuk  
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**AMENDMENT TRANSMITTAL**

AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

1. Transmitted herewith is a Response Under 37 C.F.R. §1.111 in response to the outstanding non-final Office Action mailed on November 15, 2004, in the above-referenced application.

2. Additional papers enclosed:

- ☐ Drawings: ☐ Formal (Corrections) ☐ Informal
- ☐ Supplemental Information Disclosure Statement (PTO Form 1449)
- ☐ Submission of "Sequence Listing." Computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.
- ☐ Change Of Correspondence Address

3. EXTENSION OF TIME

The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136(a) apply.

- ☐ Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that Applicant has inadvertently overlooked the need for a petition and fee for extension of time.

Attorney's Docket No. 42336.010500  
Application Serial No. 09/859,667  
Reply to Non-Final Office Action of November 15, 2004

- ☒ Applicant petitions for an extension of time, the fees for which are set out in 37 C.F.R. § 1.17(a)-(d), for the total number of months checked below:

<u>Total Months Requested</u>	<u>Fee for Extension</u>	<u>Fee for Small Entity</u>
<input type="checkbox"/> one month	\$120.00	\$ 60.00
<input checked="" type="checkbox"/> two months	\$450.00	\$225.00
<input type="checkbox"/> three months	\$1,020.00	\$510.00
<input type="checkbox"/> four months	\$1,590.00	\$795.00

- ☒ Extension of time fee due with this request: \$450.00

- ☒ If an extension of time is required, please consider this a Petition therefor.

☐ An extension for \_\_\_\_ months has already been secured and the fee paid therefor of \$ \_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

4. Fee Calculation

	Claims Remaining After Amendment		Highest No. Previously Paid	Present Extra	AT Rate Of	Total Fees
Total Claims	64	Minus	64	0	x \$50.00 each =	+\$ 00.00
Independent Claims	16	Minus	16	1	x \$200.00 each =	+\$ 00.00
First presentation of multiple dependent claim(s)					\$ 360.00	+\$ 00.00
SUB-TOTAL =						\$ 00.00
TOTAL FEE =						\$ 00.00

5. Fee Payment

- ☐ No Fee is to be paid at this time.
- ☐ A check for \$00.00 to cover the extension fees is enclosed.
- ☒ The Commissioner is hereby authorized to charge \$200.00 to Deposit Account No. 50-0653.
- ☒ The Commissioner is also hereby authorized to charge any additional fees associated with this paper, or credit any overpayment to Deposit Account No. 50-0653.

Date: April 15, 2005

Respectfully submitted,

By: 

James E. Goepel  
Registration No. 50,851  
Telephone No. (703) 903-7536  
Facsimile No. (703) 749-1301

Correspondence Address  
GREENBERG TRAURIG  
1750 Tyson's Boulevard  
Suite 1200  
McLean, VA 22102

Customer No. 22191